



# CONFERENCE AGENDA

ASrIA Annual Conference 2004  
15-16 JULY 2004, SINGAPORE

Thursday 15 July

Who and What is Driving SRI in Asia

Responsible business and investment practice is spreading daily from the boardrooms in Europe and the US to impact businesses on-the-ground in Asia. As operations and production lines shift to Asia, many global companies strive to implement global business strategies with sustainability at the fore and many smaller Asian companies struggle to meet international standards in best practice. This day is designed to assess the status of SRI in the region and the penetration of global business principles into Asia. There will also be a focus on methodologies for developing SRI specifically in the Asian context.

- 8:30am **REGISTRATION**
- 8:45am **OPENING AND WELCOME**  
Tessa Tennant, Chair, ASrIA
- 9:00am **KEYNOTE SPEECH**  
**How Global SRI Standards Translate into a Strategy for International Banks**  
Kai Nargolwala, Group Executive Director, Standard Chartered
- 9:25am **SPEAKERS PANEL**  
**The Asian SRI Business Case**  
Asian leaders on SRI are beginning to emerge as it becomes clear that SRI principles can form a strong basis for Asian businesses. 13 Asian companies are now in either the FTSE4Good or the Dow Jones Sustainability Index. What are the characteristics of the Asian SRI business case?  
**Chair:** Melissa Brown, ASrIA  
**Panel:** Sunny Verghese, Group Managing Director and CEO, Olam International  
Indraneel Roy, Asia Pacific Business Leader, Hewitt Associates  
Leon Ku, Senior Executive Vice-President, Taiwan Stock Exchange  
Dylan Tanner, Managing Director, ERM Japan Ltd.
- 11:00am **COFFEE BREAK**
- 11:30am **SPEAKERS PANEL**  
**Australasia and Japan - Lessons from Asia-Pacific's SRI Leaders**  
Australia leads the region in SRI funds under management. In Japan, more than 600 companies produce environmental reports and the leading MNCs are moving on to full sustainability reporting. The "mainstreaming" process is advancing in both countries.  
**Chair:** Tessa Tennant, Chair, ASrIA  
**Panel:** Masafumi Hikima, Advisor, Nikko Asset Management  
Masayasu Ohi, Director & Principal Executive Officer, Daiwa Asset Management  
Mariko Kawaguchi, Senior Analyst, Daiwa Institute of Research  
Paul Costello, CEO, New Zealand Superannuation Fund  
Duncan Paterson, CEO, Centre for Australian Ethical Research
- 1:00pm **LUNCH**
- 2:00pm **SPEAKERS PANEL**  
**Globalization = The Asian Supply Chain**  
Ask any leading technology company or a global retailer where their key suppliers are. It is a simple fact that the global supply chain is increasingly dominated by Asia. This tribute to Asian competitiveness poses a long list of questions for investors, companies, and SRI researchers.  
**Chair:** Tara Holeman, Business for Social Responsibility  
**Panel:** Ken Larson, Director, Corporate Social Responsibility Program, Hewlett-Packard  
Tom Haugen, Executive Director, Li & Fung  
Claudia Kruse, Senior Analyst, Governance & SRI, ISIS Asset Management  
Dr. Aqueel Khan, Director, Association for Stimulating Know-how (ASK)
- 3:00pm **SPEAKERS PANEL**  
**Who Cares? What the Asian Public Think about Business Responsibility**  
Asian consumers are ever more aware of environmental and social concerns. How significant is this for business and brand reputation? What are the likely trends? What does this mean for the scope of SRI in Asia?  
**Chair:** Melissa Brown, ASrIA  
**Panel:** Sahala Sianipar, Director, Golin Harris Singapore  
Eiichiro Adachi, Senior Researcher, Centre for the Strategy of Emergence, Japan Research Institute  
Dr. Richard Welford, Associate Director, Corporate Environmental Governance Programme, Hong Kong University  
Hauman Yeung, Researcher, ASrIA
- 4:00pm **TEA BREAK**
- 4:30pm **NGO ROUNDTABLE**  
**Key Issues and Campaigns - Who is Leading the Charge on Which Issues**  
Asia's NGO community is growing apace. 2003 was an important year for global and regional campaigns on a number of crucial environmental and social issues. Which issues will gain attention in 2004?  
**Chair:** Jonathan Wootliff, Corporate Responsibility Consultant  
**Panel:** Howard Shaw, Executive Director, Singapore Environment Council  
Liam Salter, Coordinator, Asia-Pacific Climate and Energy Program, WWF  
Chong Chan Yau, Executive Director, Oxfam Hong Kong  
Dr. Liu Kai Ming, Executive Director, Migrant Workers Community College

6:30pm

**COCKTAIL RECEPTION**

Venue: Burkill Hall, Botanical Gardens

**KEYNOTE SPEECH**

Paul Hoff, Managing Director Asia Pacific, FTSE

Sponsored by FTSE



With interest in SRI growing in Asia, companies and investors are beginning to do the hard work of identifying and analyzing SRI variables in the Asian context. This day is intended to highlight a range of practical issues that promise to shape the SRI agenda. What are the most important SRI issues for key Asian sectors? How do SRI researchers do their work and what can you do to identify material risks? Looking at things from the end investors' perspective, what do recent studies tell us about how SRI funds perform? Away from the conventional fund arena, what progress is being made in innovative areas such as the micro-finance and private equity sectors?

8:45am **KEYNOTE SPEECH**  
Rachel Kyte, Director, Environmental & Social Development, IFC

9:15am **SPEAKERS PANEL**  
**Social and Environmental Audits — Real Disclosure vs. Greenwash**  
Getting all the facts on supply chain dynamics is disconcertingly difficult and yet that is where most of the risk resides for many global companies. Companies increasingly have their own internal and external experts for supply chain audits. Some just tick the boxes. Others go a lot deeper.  
**Chair:** Carrie Johnson, PAIA Consulting  
**Panel:** Stephen Frost, Research Fellow, Southeast Asia Research Center, City University of Hong Kong  
William Anderson, Head of Social and Environmental Affairs, Asia Pacific, Adidas

10:30am **COFFEE BREAK**

10:45am **PARALLEL SESSIONS**  
**Materiality — What are the Big Risks for Asian Companies and How Should They be Analyzed**  
The list of potential SRI issues for particular companies and industries can often be daunting—especially in emerging economies. But investment analysts are often forced to focus on those issues that have the greatest potential to impact financial results or the competitive positioning of different sectors.  
**Chair:** Patrick Vizzone, Rabobank  
**Panel:** Vince Chaney, Head of Commercial Development, Trucost Plc  
Julie McDowell, Head of SRI Research, Standard Life  
Sean Gilbert, Associate Director, Technical Development, GRI  
Patrick Choo, Director, Kingsway Fund Management

**Alternative SRI Investments — An Update on Asian Micro-Finance and Community Investment**

In Asia, new examples of innovative micro-finance ventures are emerging across the region. Micro-finance has won support from established banks, charitable groups, and traditional private equity funds. What is the record to-date in this exciting area and what are the challenges for investors?

**Chair:** Vineet Vohra, Global Consumer Group  
**Panel:** Denis Perry, Director, Support Development Asia, Opportunity International Network  
Jean-Philippe de Schrevel, CEO, Blue Orchard  
Davis Golding, Executive Vice President and CIO, ShoreCap International Ltd.

12:00pm **PARALLEL SESSIONS**  
**SRI Corporate and Fund Performance—The Data Emerges**  
Just as the SRI business case is becoming clearer, so is the performance profile of SRI funds. Over the past year, researchers have published a range of sophisticated studies that provide a robust picture of the performance profile of SRI funds.  
**Chair:** Andrew Kwek, Investment Management Association of Singapore (IMAS)  
**Panel:** Alireza Tourani-Rad, Professor and Head of the Department of Finance, Auckland Institute of Technology  
Bijan Foroodian, Director of Quantitative Analysis, Innovest  
David Morrow, Social Marketing Manager, Calvert Group Limited

**What Investors Need to Know about Asia's Deforestation Crisis**

The deforestation crisis in Asia continues to pose a huge challenge for the future of the environment. A number of initiatives have emerged, especially in sectors where consumer pressure is felt, but more comprehensive policies need to be adopted and enforced. Which initiatives are working and what should investors be asking companies and government authorities involved in the forestry sector?

**Chair:** Peter King, Asian Development Bank  
**Panel:** Nigel Sizer, Director, Asia-Pacific Forests Program, The Nature Conservancy  
Ian Jolly, Director, International Forestry Investments, GMO  
David Gait, Emerging Markets Fund Manager, First State Investments UK

1:15pm **LUNCH**

2:00pm **KEYNOTE SPEECH**  
Bart Jan Krouwel, Managing Director, Sustainability and Social Innovation, Rabobank

2:30pm **SPEAKERS PANEL**  
**SRI Issues for Asian Private Equity Funds—Which Standards, Who Verifies, How to Realize Value**  
Implementing SRI practices is one tangible way for private equity fund managers to add value to potentially risky Asian venture portfolios, but finding the right standards and benchmarks for venture stage companies is not always easy. A new class of SRI-oriented private equity funds is emerging. This panel will offer an opportunity to understand how they are addressing these issues.  
**Chair:** Tim Krause, International Finance Corporation  
**Panel:** Roland Pfeuti, Principal, SAM Venture Capital  
Rajesh Srivastava, Regional Head, Asia, Rabobank  
Marc Stuart, Director, Eco Securities  
Takayuki Yamamoto, Chief Representative of Singapore Office, DBJ

3.45pm

**SPEAKERS PANEL**

**If We Build it Will They Come? How does the Pension Fund Community work with SRI**

Pension funds play a leading role in the development of SRI policies for institutional investment, but follow a range of strategies. Will a successful track record in management of an SRI-influenced private equity fund attract motivated pension fund investors? Which investors are committed to this asset class and how do they evaluate the funds?

**Chair:** Tessa Tennant, ASrIA

**Panel:** David Russell, Advisor, Responsible Investment, University Superannuation Scheme  
Patrick Doherty, Office of the Comptroller, New York Employees' Retirement System  
Frank Pegan, CEO, Catholic Superannuation Scheme, Australia

4:30pm

**TEA BREAK**

5:00pm

**CLOSING REMARKS**

Conference Ends